





## Summary

Residual risk

Why assess residual risk?

Policy Drivers and Guidance

Uncertainty – the knowns and unknowns

Choices

Limitations



## Residual Risk

A residual risk is the portion of the risk that is left after a risk assessment has been conducted and all mitigation measures applied – Wikipedia

Exposure to loss remaining after other known risks have been countered, factored in or eliminated – business dictionary

The risk remaining after applying the sequential approach and taking mitigating actions – PPS25



## Why assess residual risks?

31<sup>st</sup> January 1995 - River Tyne

Defence built in 1955 after last big flood  
Forecast level below crest of defence  
Embankment breached at Corbridge  
14 properties flooded  
Rapid inundation, rise of 6" per 15mins

Suspected cause of failure





## Why assess residual risks?

**November 2000, Gowdall**  
Breach of River Aire defences  
250 properties affected

**January 2005, Carlisle**  
Overtopping of defences  
1800 properties

**January 2005, Warden**  
Breach of defences on River South Tyne  
100 properties affected in catchment





## Why assess residual risks?

July 2010 Sefton

20m breach of embankment on  
the River Alt

Flooding of Environment  
Agency owned land

No properties affected





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## Why assess residual risks?

January 1953

Breach of tidal defences at  
Erith, Thames Estuary





## Why assess residual risks?

Low probability

Unpreparedness and disbelief - “its never flooded before” or “if it floods here than the whole of Northwich would be flooded”

Consequences can be higher than without defences

Costs of managing residual risk can be low compared to the damage avoided



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## Policy driver

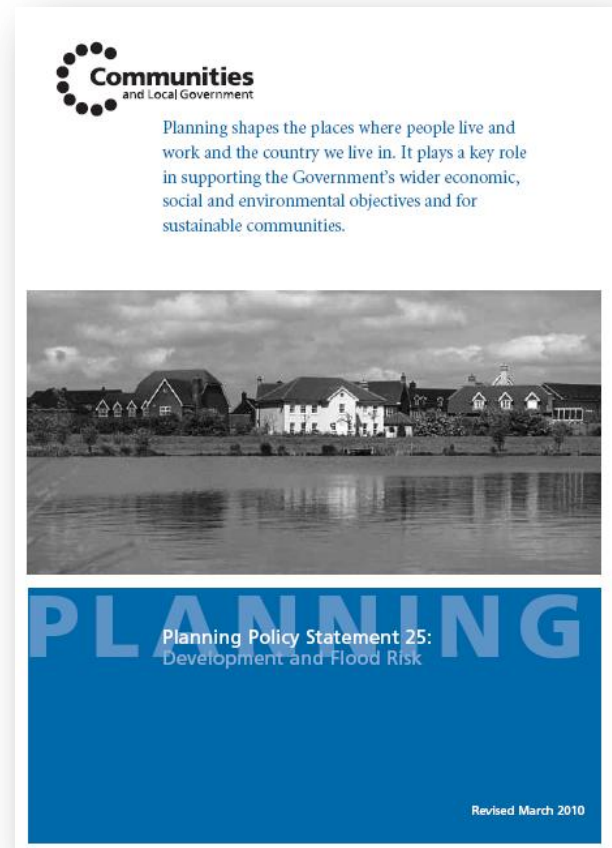
PPS25 – Annex G

Assess risk

Identify mitigation

Determine whether residual risks are acceptable

Safely managed





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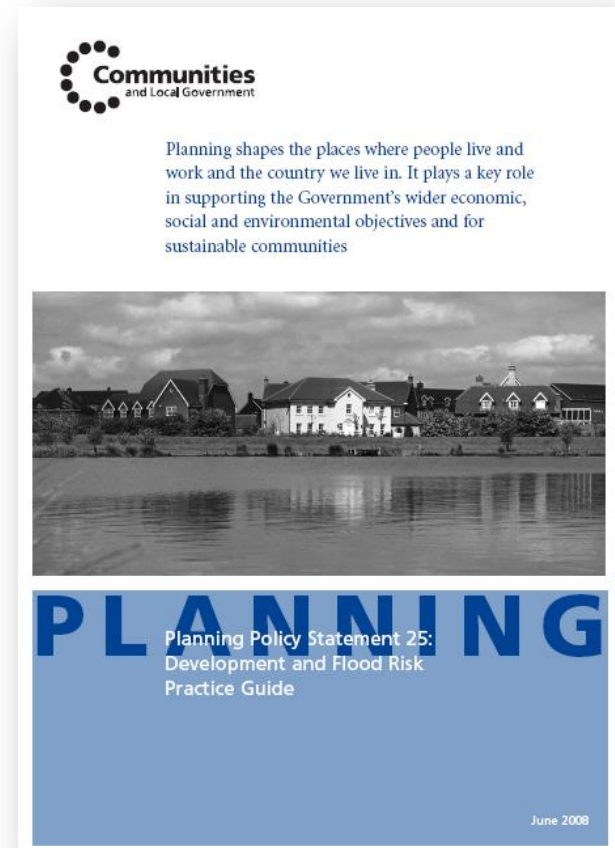


## Policy driver

PPS25 Practice Guide

Additional guidance

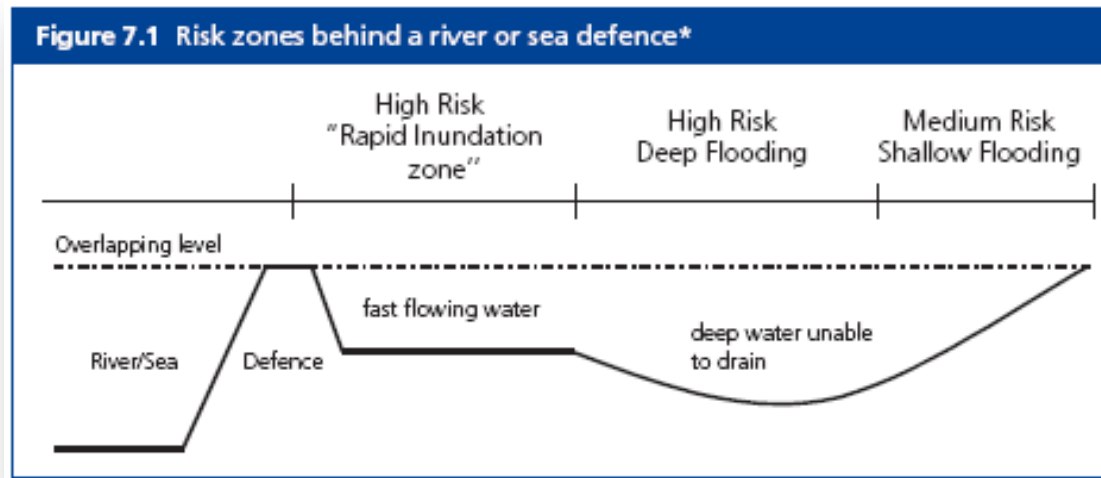
*FD2320 Flood Risk Assessment  
Guidance for New Development* Phase  
2 R&D Technical Report FD2320





## Guidance

FD2320 – Simple assessment, consequences based on depth and distance



Intermediate assessment – same approach but simple approach to probability



## Guidance

FD2320

Detailed assessment – complex approach

Rigorous approach to assessing probability

2D Hydraulic modeling of flood behaviour



## Guidance

### FD2321 – *Flood Risks to People*

Understanding of hazard and vulnerability within an area and to people

Depth

Velocity

Debris

Speed of Onset

Nature of area/development

Flood warning

Type of occupier

Acceptable & Safe



## Detailed assessment

Probability of breach is difficult (FD2411, 2007)

Prediction of breach location is poor

Prediction of time of breach is very poor

Prediction of formation is basic

Experience of closure is limited

Focus is therefore usually on consequences, i.e. 2D modelling

Best estimates of location, time of breach, size and closure



## Data

Digital Terrain Model (DTM)

Additional topographical information (survey)

Land-use data

Breach location, width and minimum elevation

Boundary conditions (flow, water level, duration etc)

Information on any other boundary conditions (outflows, inflows or water levels).

Condition of defences



# Uncertainty

Data	Known.....Unknown
Topographical data	←————→
Flood defence information plus other topographical features	←————→
Condition of defences	←————→
Land use data / model parameters	←————→
Breach location	←————→
Breach elevation	←————→
Breach width	←————→
Time of breach	←————→
Flow or water level over time information	←————→



## Additional choices

### Choice of Software

14 software packages benchmarked by the Environment Agency

### Grid or mesh

Fixed grid or flexible mesh

### Grid/mesh size and orientation

A smaller the grid/mesh gives greater detail but longer runtimes

Orientation can affect flow paths

### Timestep

Smaller time steps increase run time

### Boundary conditions

Smaller watercourses, sewer flooding etc

Secondary breach location



## Additional choices

### Model parameterisation

Land-use and roughness

### Building definition

Explicitly included or identified via roughness

Specify threshold level

### Scenario duration

Number of tidal cycles critical storm duration

### Run duration

Additional time for simulation

### Model scenarios

Return period, breach time, closure time



## North West Breach Scenario Modelling Project

### Breach modelling at three locations in Cumbria

Kent Estuary, Levens Hall

River Mint in Kendal

River Eden in Appleby-in-Westmorland

### Guidance for developers

Fluvial / tidal residual risks

Drivers, purpose, data, uncertainties



## Case Studies: Kent Estuary Tidal Breach

Kent Estuary Tidal Breach

Rural location

Few properties

Large flat area

Caravan sites



## Case Studies: Kent Estuary Tidal Breach

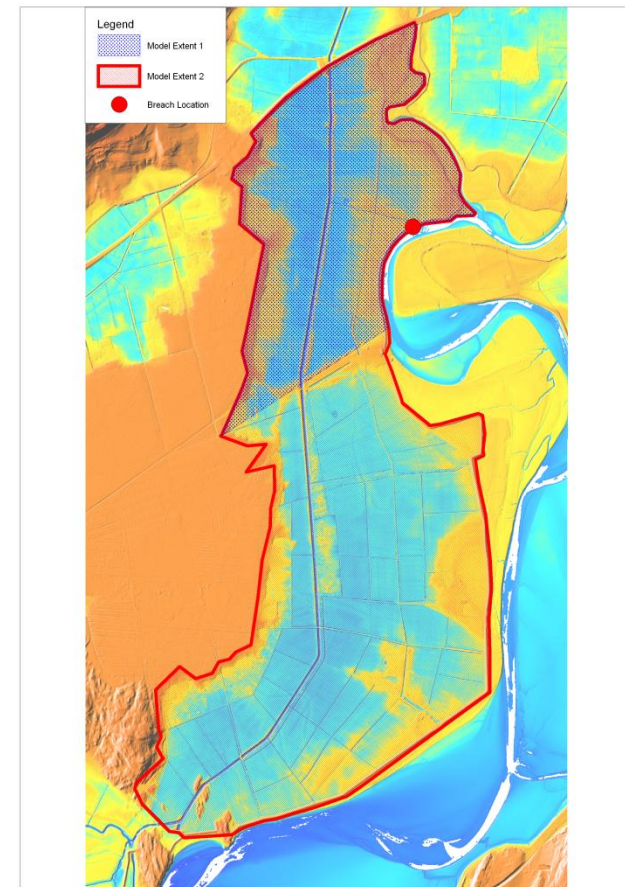
Soft embankment – 50m breach

Location based on greatest potential depth at the breach

Water level v Time boundary condition

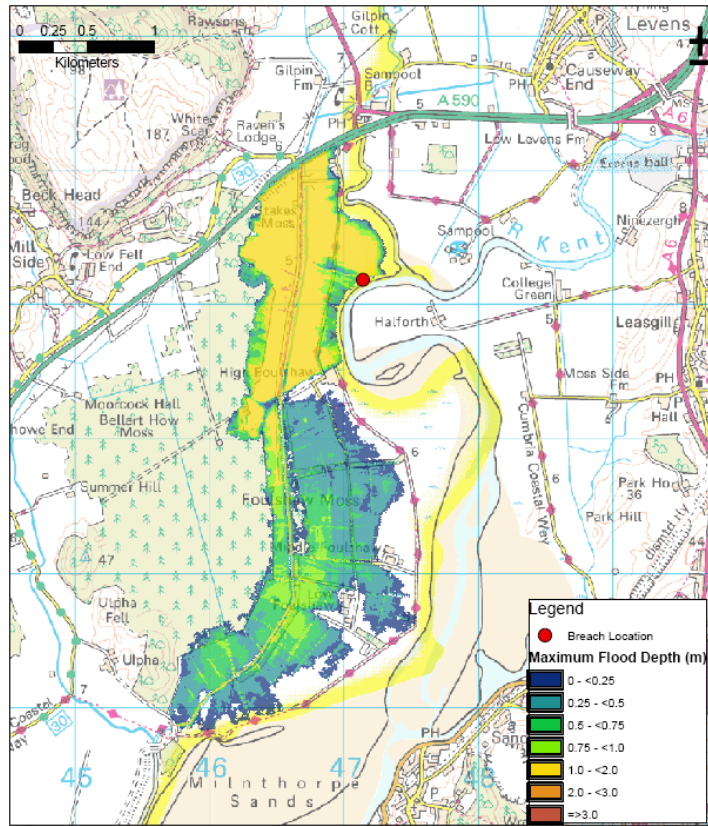
Simple roughness parameterisation

Breach simulated at peak and prior to peak



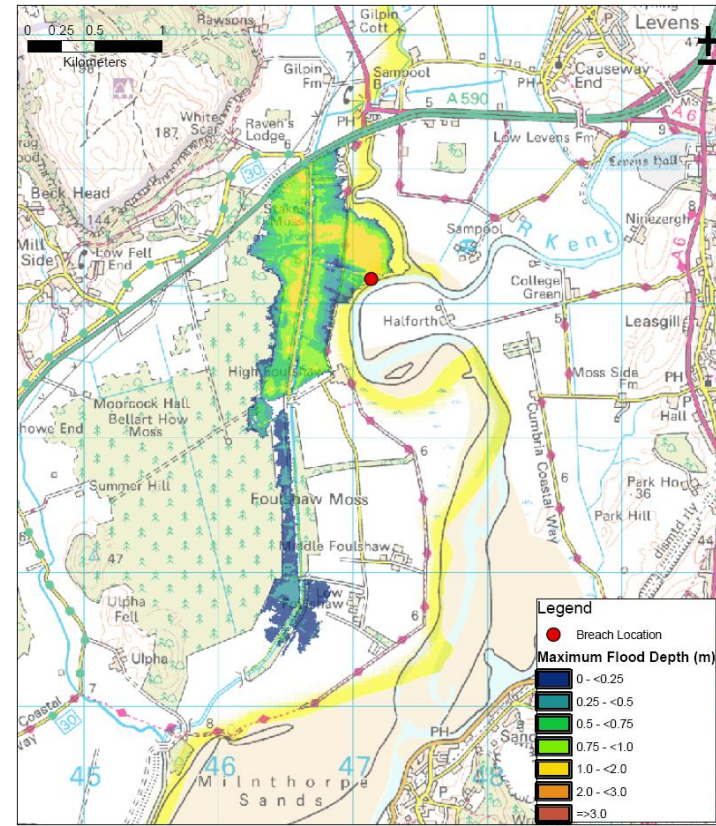


## Case Studies: Kent Estuary Tidal Breach



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## Case Studies: River Mint in Kendal

River Mint in Kendal - Overtopping and Breach

Urban location

Residential and commercial properties

Flooding also from River Kent

Overland flow paths and ponding



## Case Studies: Kent Estuary Tidal Breach

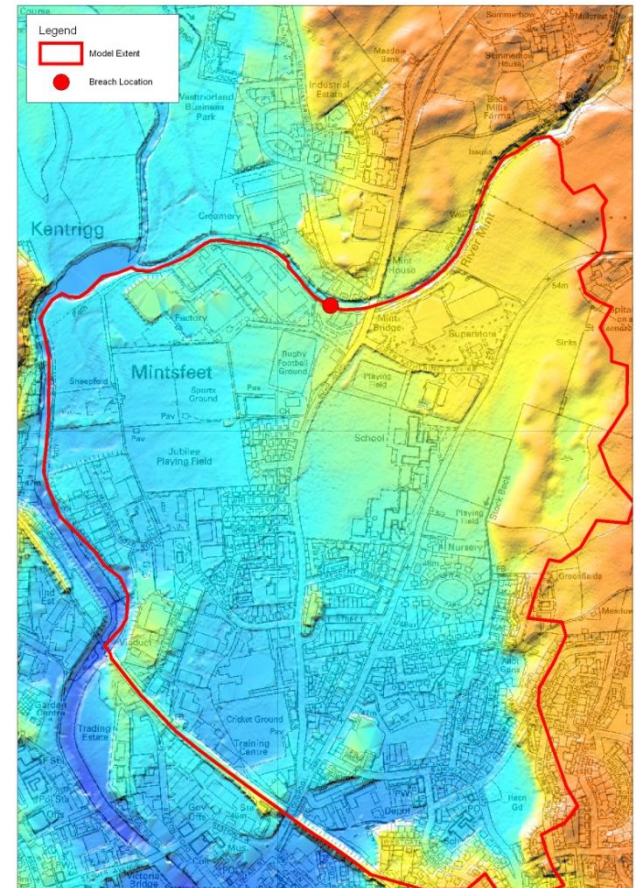
Soft embankment – 50m breach

Location based on previously recorded overtopping

Variable water level v Time boundary condition – defined from existing model

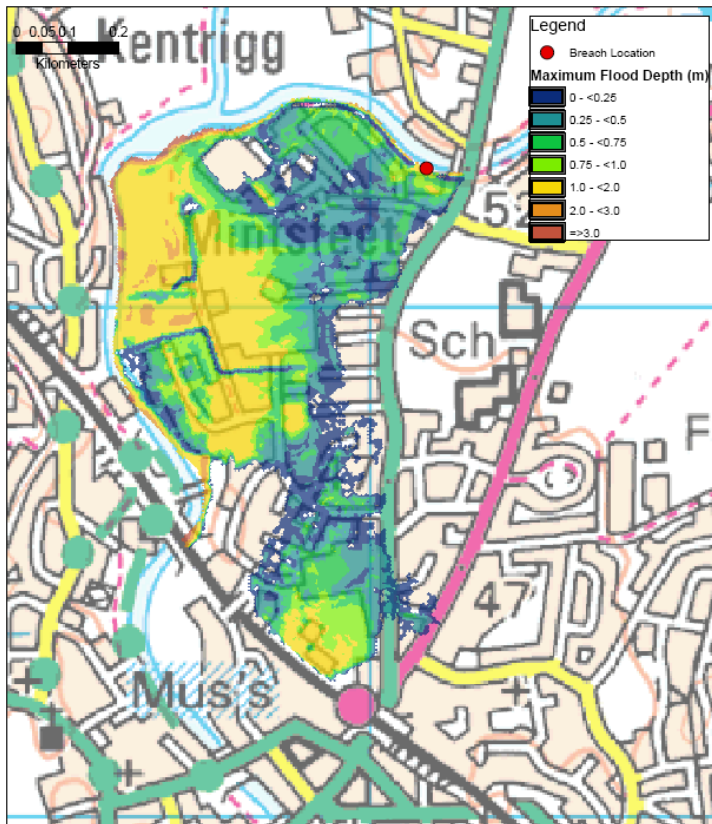
Roughness based on OS Mastermap

Breach simulated prior to peak



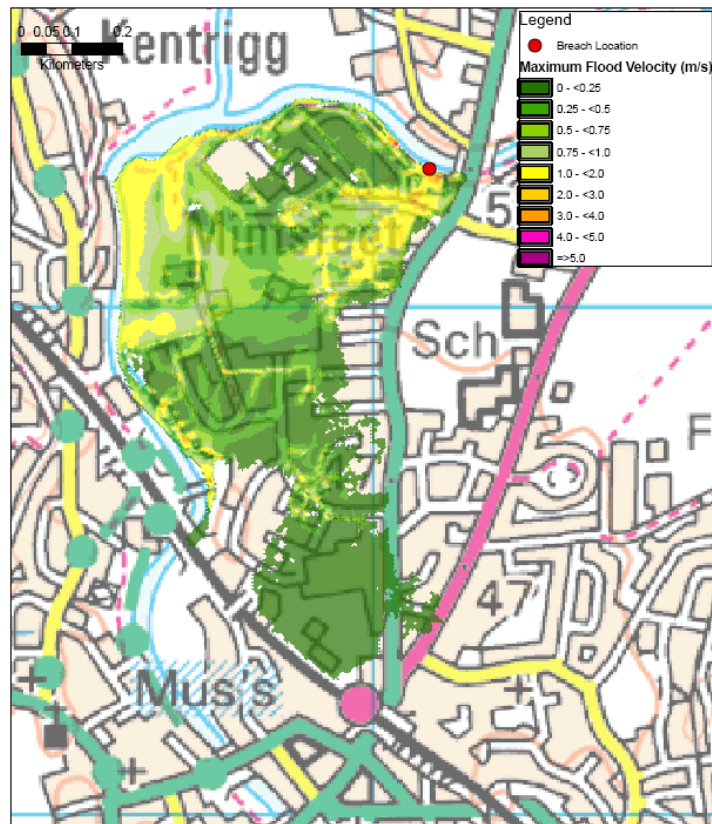


## Case Studies: River Mint in Kendal



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## Case Studies: River Eden in Appleby-in-Westmorland

River Eden in Appleby-in-Westmorland - Overtopping and Breach

Small urban town centre

Well defined floodplain

Protected by mixture of hard, soft and demountable defences

Rapid inundation and deep ponding



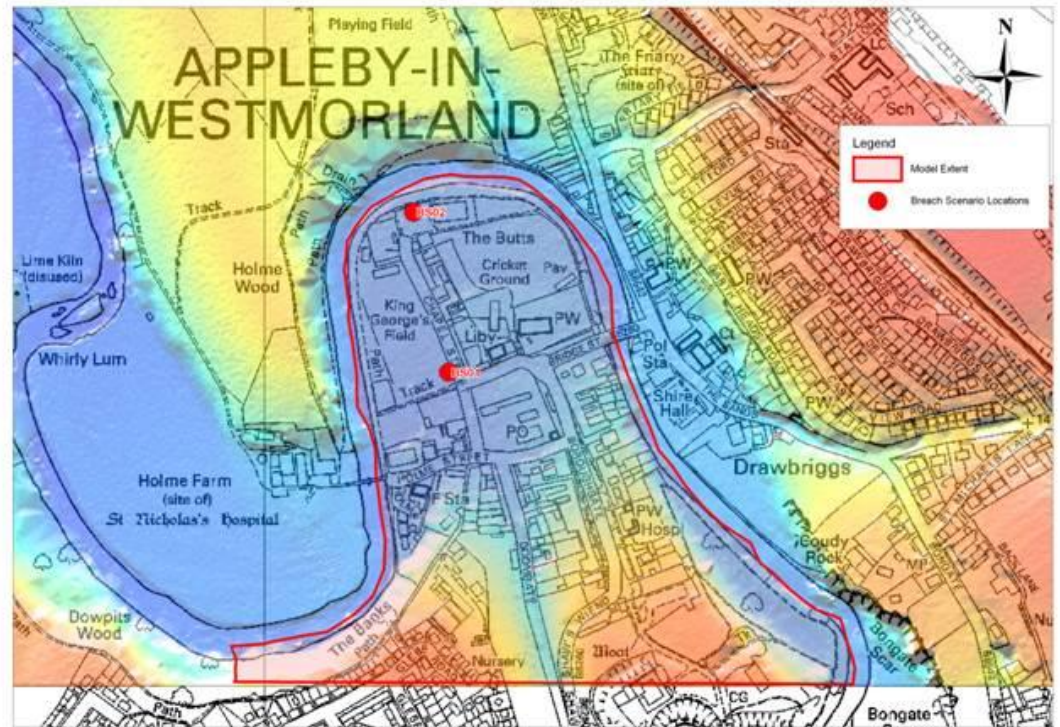
## Case Studies: River Eden in Appleby-in-Westmorland

Two breach locations

Water level v Time boundary condition

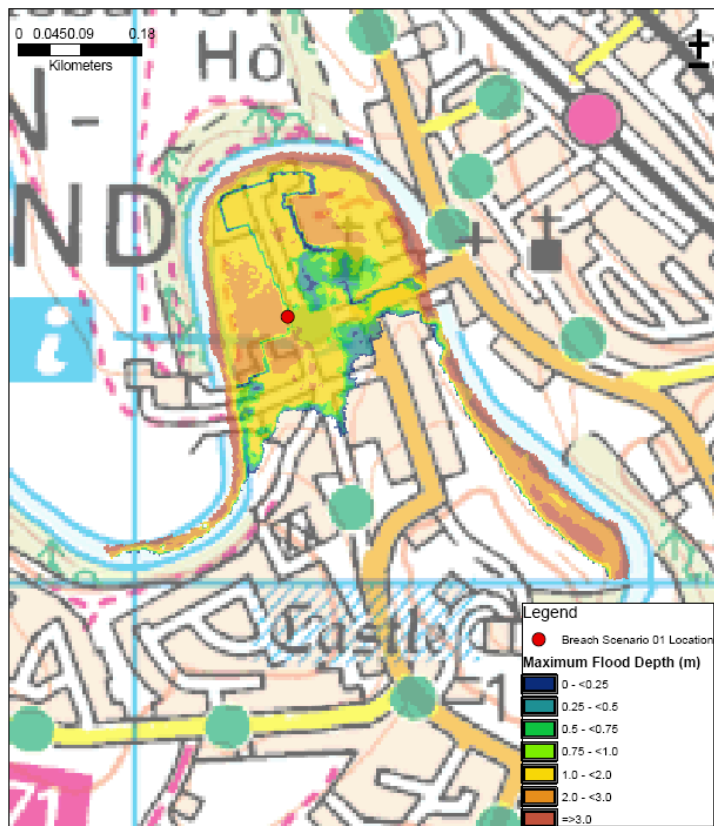
Roughness based on OS Mastermap

Breach simulated at peak and prior to peak





## Case Studies: River Eden in Appleby-in-Westmorland



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## Case Studies

Kent Estuary	River Mint, Kendal	River Eden, Appleby
Few properties flooded	Residential and commercial properties impacted	Residential and commercial properties impacted
Extent of inundation greatest with failure before peak, velocities greatest with failure at peak	Greatest depths and highest velocities did not coincide	In extreme event, the flood extent was the same regardless of breach location and time
Depths of up to 2m	Significant ponding occurred away from breach and River Kent	Depths up to 3m
Velocities of up to 3m/s	Depths up to 2m in urban area with velocities of 3 to 4m/s	Velocities up to 3m/s



## To Conclude

A residual risk of flooding will always remain

Important to understand the potential consequences, if not the probability

Assessment is currently based on conservative but realistic assumptions

Mitigation can then be identified to ensure safety of people and resilience of property



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There are known knowns; there are things we know we know.

We also know there are known unknowns; that is to say we know there are some things we do not know.

But there are also unknown unknowns – the ones we don't know we don't know.

Former United States Secretary of Defense, Donald Rumsfeld



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